Sheet 1 of 1

Substitute Form PTO-14 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10634-005001	Application No. 10/072,128
	Applicant Ching Song et al.		
	Filing Date February 8, 2002	Group Art Unit 1616	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
BB	AA	Clinton et al., "D-Homosteroids. I. Derivatives of D-Homoetiocholan-3 α -ol-11,17a-dione", <u>Journal of the American Chemical Society</u> , 79:6475-6480 (1957).
	AB	Database Beilstein, Beilstein Institute for Organic Chemistry, Frankfurt-Main, DE; BRN 2606100, XP002295651 (Abstract).
	AC	DeMarcano et al., "D-Homoandrostanes.2.Preparation and Properties of some Dioxxygenated D-Homo-5a-Androstanes", <u>Journal of Organic Chemistry</u> , 42(7):1221-1225 (1977).
	AD	DeMarcano et al., "D-Homoandrostanes.4.The Incubation of some D-Homo-5a-Androstanes with <u>Rhizopus Nigricans</u> ", <u>Steroids</u> , 41(1):1-13 (1983).
	AE	Eadon et al., "Synthesis and Biological Activity of D-Bishomo Steroids", <u>Journal of Medicinal Chemistry</u> , 15(1):89-91 (1972).
	AF	Gao et al., "A Novel Method for the Synthesis of a C/D-Ring Synthon of Vitamin D Derivatives From Hyodeoxycholic Acid", <u>Tetrahedron Letters</u> , 40(1):131-132 (1999).
	AG	Girdhar et al., "Highly Efficient Lewis Acid Catalyzed, One Step Conversions of 16alpha, 17alpha-epoxy-3beta-hydroxypregn-5-en-20-one to d-homosteroid and DELTA<13>-Steroids", <u>Tetrahedron</u> , 57(33):7199-7204 (2001).
	AH	Seto et al., "Synthesis and Biological Activity of 6a-Carababassinolide: B-Ring Homologation of 6-Oxo-Steroid to 6-Oxo-7a-Homosteroid with Trimethylsilyldiazomethane-Boron Trifluoride Etherate", <u>Tetrahedron Letters</u> , 40(12):2359-2362 (1999).
PBB	AI	Song et al., "Auto-Oxidized Cholesterol Sulfates are Antagonistic Ligands of Liver X Receptors: Implications for the Development and Treatment of Atherosclerosis", <u>Steroids</u> , 66:409-422 (2001).

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Substitute Form PTO-1449 (Modified) AUG 27 2004 PATENT & TRADEMARK OFFICE 37 CFR 1.98(b)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10634-005001	Application No. 10/072,128
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	Applicant Ching Song et al.		Filing Date February 8, 2002
		Group Art Unit 1616	

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
BB	AA	3,784,598	01/08/74	Iseli et al.	—	—	
	AB	3,925,480	12/09/75	Thal et al.	—	—	
	AC	4,006,172	02/01/77	Salmond	—	—	
	AD	4,125,544	11/14/78	Dygos	—	—	
BB	AE	4,639,420	01/27/87	Schaffner	—	—	

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
BB	AF	GB 1 405 818	09/10/75	Great Britain	—	—		
BB	AG	GB 2 009 180	06/13/79	Great Britain	—	—		

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
BB	AH	Bergmann et al., "Contribution to the study of marine products. XXXI. Palysterol and other lipid components of sea anemones", <u>Journal of Organic Chemistry</u> , 16:1337-1344 (1951).
	AI	Boto et al., "Tandem b-Fragmentation-hydrogen Abstraction Reaction of Alkoxy Radicals in Steroid Systems", <u>Journal of Organic Chemistry</u> , 62(9):2975-2981 (1997).
	AJ	Database Beilstein 'Online!', <u>Beilstein Institute for Organic Chemistry</u> , BRN 1274114, XP002284519.
	AK	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, Citation No. 575886, BRN 45135, 41670, XP002284520.
	AL	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 1629436, XP002284521.
	AM	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 1355280, XP002284522.
	AN	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 41863, XP002284523.
	AO	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 39425, XP002284524.
	AP	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 1272804, XP002284525.
	AQ	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 4723631, XP002284526.
	AR	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 6282221, XP002284527.
BB	AS	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 6781196, XP002284528.

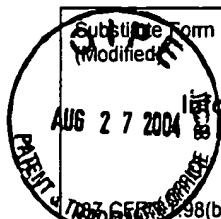
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	Applicant Ching Song et al.		Filing Date February 8, 2002

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PB	AT	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 7545061, XP002284529.
	AU	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 7950623, XP002284530.
	AV	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 7954188, XP002284531.
	AW	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 2017533, XP002284532.
	AX	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 2024248, XP002284533.
	AY	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 2033596, XP002284534.
	AZ	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 2064766, XP002284535.
	AAA	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 2065735, XP002284536.
	ABB	Database Beilstein 'Online!', Beilstein Institute for Organic Chemistry, BRN 8881860, XP002284537.
	ACC	Djerassi et al., "Mass Spectrometry in Structural and Stereochemical Problems. LXV. Synthesis and Fragmentation Behaviour of 15-Keto steroids", <u>Journal of the American Chemical Society</u> , 87(4):817-826 (1965).
	ADD	Gao et al., "A Novel Method for the Synthesis of a C/D-Ring Synthon of Vitamin D Derivatives From Hyodeoxycholic Acid", <u>Tetrahedron Letters</u> , 40(1):131-132 (1999).
	AEE	Kasal, "Epalons: 6-Substituted Derivatives of 7-Norepiallopregnanolone", <u>Tetrahedron</u> , 56(22):3559-3565 (2000).
	AFF	Lardy et al., "Ergosteroids II: Biologically Active Metabolites and Synthetic Derivatives of Dehydroepiandrosterone", <u>Steroids: Structure, Function and Regulation</u> , 63(3):158-165 (1998).
	AGG	Liebermann et al., "D5-Cholestene-3b, 4b, 7a-triol and the Inhibition of the Oxidation of Hydroxyl Groups by Vicinal Substituents", <u>Journal of the American Chemical Society</u> , 72:5211-5218 (1950).
	AHH	McMorris et al., "Structures of Oogonol-1, -2, and -3, Steroidal Sex Hormones of the Water Mold", <u>Journal of the American Chemical Society</u> , 97(9):2544-2545 (1975).
BZ	AII	Miller et al., "A Ruthenium Catalyzed Oxidation of Steroidal Alkenes to Enones", <u>Tetrahedron Letters</u> , 37(20):3429-3432 (1996).
	AJJ	Nace et al., "Novel Products from the Oxidation of d5 Steroids with Potassium Permanganate in Pyridine", <u>Journal of Organic Chemistry</u> , 35:3846-3851 (1970).
	AKK	Ockels et al., "Darstellung Von Spezifisch Deuterium-Markierten Analogen Des Androst-5-En-3Beta-Ol", 3Beta-Ol", 3Beta-Ol", <u>Tetrahedron</u> , 32(1):135-142 (1976).
	ALL	Teng et al., "Sterol Metabolism. XX. Cholesterol 7b-Hydroperoxide", <u>Journal of Organic Chemistry</u> , 38:119-123 (1973).
BZ	AMM	Witiak et al., "Inhibitors and Stimulators of Cholesterolgenesis Enzymes", <u>Journal of Medicinal Chemistry</u> , 14(8):684-693 (1971).

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		Filing Date February 8, 2002	Group Art Unit 1616

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
BB	AA	3,887,545	06/03/1975	Iacobelli et al.	260	239.55 R	
	AB	5,466,815	11/14/1995	Enhnen et al.	548	252	
	AC	5,508,453	04/16/1996	Arosio et al.	552	553	
	AD	5,639,744	06/17/1997	Marchi et al.	514	176	
	AE	6,465,258 B1	10/15/2002	Shan et al.	436	501	
	AF	6,639,078 B1	10/28/2003	Haffner et al.	546	272.1	
	AG	6,645,955 B1	11/11/2003	Liao et al.	514	182	
	AH	US-2002-0193357-A1	12/19/2002	Song et al.	514	169	
	AI	US-2003-0139385-A1	07/24/2003	Song et al.	514	182	
BB	AJ	US-2004-0014734-A1	01/22/2004	Song et al.	514	169	

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
BB	AK	00/66611	11/09/2000	WIPO	—	—		
	AL	02/090375	11/14/2002	WIPO	—	—		
	AM	02/062302	08/15/2002	WIPO	—	—		
	AN	03/039480	05/15/2003	WIPO	—	—		
BB	AO	03/086303	10/23/2003	WIPO	—	—		

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
BB	AP	Susan Budavari, EDITOR, <u>The Merck Index, An Encyclopedia of Chemicals, Drugs, and Biologicals</u> , 11 th Edition, published by Merck & Co., Inc., pp. 396, 574, 1225-1226 (1989).
	AQ	Edwards et al., "BAREing it all: the adoption of LXR and FXR and their roles in lipid homeostasis", <u>J. Lipid Res.</u> , Vol. 43, pp. 2-12 (2002).
	AR	Makishima et al., "Identification of a Nuclear Receptor for Bile Acids", <u>Science</u> , Vol. 284, pp. 362-365 (1999).
BB	AS	Roda et al., "New 6-substituted bile acids: physico-chemical and biological properties of 6 α -methyl ursodeoxycholic acid and 6 α -methyl-7-epicholic acid", <u>J. Lipid Res.</u> , Vol. 35, pp. 2268-2279 (1994).

Examiner Signature <i>Barbico</i>	Date Considered 4/15/05
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Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Ching Song et al.	
		Filing Date February 8, 2002	Group Art Unit 1616

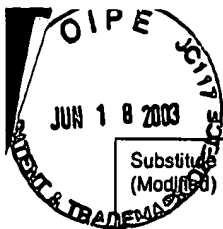
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BP	AT	Roda et al., "Structure-Activity Relationship Studies on Natural and Synthetic Bile Acid Analogs", <u>Dig. Dis. and Sci.</u> , Vol. 34, No. 12, pp. 24S-35S (1989).
BP	AU	Runong Wang et al., "Chemical Product Manual", the third version, Pharmaceuticals, Chemical Industry Publishing House, pp. 740 (January 1999).

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Sheet 1 of 1Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
10634-005001Application No.
10/072,128**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Ching Song et al.Filing Date
February 8, 2002Group Art Unit
1616**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
BB	AA	5,424,463	6/13/1995	Lardy et al.	552	637	
	AB	4,304,726	12/8/1981	Arakawa et al.	260	397.2	
	AC	4,193,930	3/18/1980	Chorvat	260	397.2	
BB	AD	4,125,544	11/14/1978	Dygos	260	397	
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Foreign Patent Documents or Published Foreign Patent Applications

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							Yes	No
	AL							
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	AN							
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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
BB /	AA	3,963,765	6/15/1976	Mazur et al.	260	397.2	
	AB	4,917,898	4/1990	Angelico et al.	424	452	
	AC	5,362,891	11/1994	Bonaldi et al.	552	554	
	AD	5,583,239	12/1996	Regen	552	554	
BB	AE	6,060,465	5/2000	Miljkovic et al.	514	169	
	AF						
	AG						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
BB /	AH	123:286388	3/29/1995	China (Abstract Only)	T	—		
	AI	CN-110729	3/1995	Wang et al. (See AH)	Abstract			
	AJ	EP 0 562 849 A2	9/29/1993	EPO	—	—		
	AK	JP 4169597	6/1992	Japan	—	—		
BB	AL	94/02503	2/1994	WIPO	—	—		
	AM	98/32444	07/30/1998	WIPO	—	—		
	AN							
	AO							

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BB /	AP	Angelico et al., "Dissolution of Human Cholesterol Gallstones in Bile Salt/Lecithin Mixtures: Effect of Bile Salt Hydrophobicity and Various pHs", Scandinavian Journal of Gastroenterology 30:1178-1185, 1995.
	AQ	Ajay Chawla et al., "Nuclear Receptors and Lipid Physiology: Opening the X-Files", Science, Vol., 294, pp. 1866-1870 (November 30, 2001)
	AR	Cohen et al., "The preparation of bile acid amides and oxazolines. II. The synthesis of the amides and oxazolines of ursodeoxycholic acid, deoxycholic acid, hyodeoxycholic acid and cholic acid", Steroids, Vol. 40, No. 6, pp. 701-711 (December, 1982)
BB	AS	Coleman et al., "Synthesis and Characterization of Novel Analogs of Conjugated Bile Acids Containing Reversed Amide Bonds", Journal of Lipid Research 36:901-910, 1995.

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	BA	Adomo Fini et al., "Quantitative Structure-Antimicrobial Activity Relationship in 5 β -Cholanyl-24-benzylamine Derivatives", <u>Journal of Pharmaceutical Sciences</u> , Vol. 79, No. 7, pp. 603-605 (July 1990)
	BB	Charles Freudenreich, et al., "Design of Inhibitors from the Three-Dimensional Structure of Alcohol Dehydrogenase, Chemical Synthesis and Enzymatic Properties", <u>J. Am. Chem. Soc.</u> , pp. 3344-3353, (1984)
	BC	Xuan Fu et al., "27-Hydroxycholesterol Is an Endogenous Ligand for Liver X Receptor in Cholesterol-loaded Cells", <u>The Journal of Biological Chemistry</u> , Vol. 276, No. 42, pp. 38378-38387 (2001)
	BD	Josef E. Herz, et al., "Fluorinated Sterols. Part II: 26,27 - Polyfluorinated Desmosterols", <u>Journal of Fluorine Chemistry</u> , Vol. 8, pp. 209-222 (1976)
	BE	Mohammed N. Iqbal, et al., "Bile Acids. LXXXI. Synthesis and structural assignment of E/Z isomers of substituted methyl hydroxy-5 β -cholest-24-en-26-oates", <u>Steroids</u> , Vol. 56, pp. 505-512 (October, 1991)
	BF	Janowski et al., "Structural Requirements of Ligands for the Oxysterol Liver X Receptors LXRA and LXR β ", <u>Proc. Natl. Acad. Sci.</u> Vol. 96, pp. 266-271, (January, 1999).
	BG	Kim et al., "Inhibitors of Sterol Synthesis. Chemical Synthesis, Structure, and Biological Activities of (25R)-3 β ,26-dihydroxy-5 α -cholest-8(14)-en-15-one, a Metabolite of 3 β -hydroxy-5 α -cholest-8(14)-en-15-one", <u>Journal of Lipid Research</u> 30:247-261, 1989.
	BH	Naoyuki Koizumi, et al., "Synthesis of [25R] - and [25S]-25,26-Dihydroxyvitamin D31, <u>Tetrahedron Letters</u> , No. 32, pp. 2899-2902 (1978)
	BI	A. Kuritzkes, et al., "3-epi-Uzariogenin und 3-epi-17 α -Uzariogenin", <u>Helvetica Chimica Acta</u> , Vol. 62, pp. 1502-1515 (1959)
	BJ	Kurosawa et al., "Synthesis of 3 α , 7 α , 12 α -trihydroxy-and 3 α , 7 α -dihydroxy-5 β -cholestan-26-oic Acids by the Use of β -ketosulfoxide", <u>Steroids</u> 60:439-444, 1995.
	BK	Bryan A. Laffitte, et al., "LXRs control lipid-inducible expression of the apolipoprotein E gene in macrophages and adipocytes", <u>PNAS</u> , Vol. 98, pp. 507-512, (June 16, 2001)
	BL	Yvonne Lange, et al., "Cholesterol Movement in Niemann-Pick Type C Cells and in Cells Treated with Amphiphiles", <u>The Journal of Biological Chemistry</u> , Vol. 275, No. 23, pp. 17468-17475, (June 9, 2000)
	BM	Dieter Leibfritz, et al., "Nuclear Magnetic Resonance Spectroscopy. Carbon-13 Spectra of Cholic Acids and Hydrocarbons Included in Sodium Desoxycholate Solutions", <u>Journal of American Chemical Society</u> , Vol. 95, No. 14, pp. 4996-5003 (July 11, 1973)
	BN	Li et al., "Sterol Synthesis. Preparation and Characterization of Fluorinated and Deuterated Analogs of Oxygenated Derivatives of Cholesterol", <u>Chemistry and Physics of Lipids</u> 99:33-71, 1999.
	BO	S.H. Mujtaba Naqvi, "Chemical Synthesis and Mass Spectrometric Characterization of Some C-27 Steroids", <u>Steroids</u> , Vol. 22, pp. 285-290 (1973)
	BP	J. Polonia, et al., "Die Konstitution des Xysmalogenins", <u>Helvetica Chimica Acta</u> , Vol. 42, pp. 1437-1447 (1959)
BB	BQ	Roda et al., "Synthesis and Physicochemical, Biological, and Pharmacological Properties of New Bile Acids Amidated with Cyclic Amino Acids", <u>J. Med. Chem.</u> 39:2270-2276, 1996.

Examiner Signature <i>P. B. B.</i>	Date Considered <i>4/15/03</i>
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Other Documents (include Author, Title, Date, and Place of Publication)

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	CA	Ruelle et al., "The Mobile Order Solubility Equation Applied to Polyfunctional Molecules: The Non-hydroxysteroids in Aqueous and Non Aqueous solvents", International Journal of Pharmaceutics 157:219-232, 1997.
	CB	Ching Song et al., "Auto-oxidized cholesterol sulfates are antagonistic ligands of liver X receptors: implications for the development and treatment of atherosclerosis", <u>Steroids</u> , Vol. 66, pp. 473-479 (2001)
	CC	Ching Song et al., "Hypolipidemic effects of selective liver X receptor alpha agonists", <u>Steroids</u> , Vol. 66, pp. 673-681 (2001)
	CD	Song et al., "Ubiquitous Receptor: A Receptor that Modulates Gene Activation by Retinoic Acid and Thyroid Hormone Receptors", Proc. Natl. Acad. Sci. 91:10809-10813, 1994.
	CE	Song et al., "Ubiquitous Receptor: Structures, Immunocytochemical Localization, and Modulation of Gene Activation by Receptors for Retinoic Acids and Thyroid Hormones", Annals of the New York Academy of Sciences 761:38-49, 1995.
	CF	Sweeny et al., "Metabolism of 5-fluorouracil to an N-cholyl-2-fluoro-β-alanine conjugate: Previously Unrecognized Role for Bile Acids in Drug Conjugation", Proc. Natl. Acad. Sci. 84:5439-5443, 1987.
	CG	Summerfield et al., "Identification of Bile Acids in the Serum and Urine in Cholestasis", Biochem. J. 154:507-516, 1976.
	CH	C Tamm, et al., "Umwandlung von Cardenoliden durch Mikroorganismen. III. Umsetzung von Aglykonen und Glykosiden mit Fusarium lini", <u>Helvetica Chimica Acta</u> , Vol. 42, pp. 239-259 (1959)
	CI	R. Tschesche, et al., "Über pflanzliche Herzgifte, XIX. Mitteil., Die Glykoside der Uzara-Wurzel", <u>Chemische Berichte</u> , Vol. 85, pp. 1042-1053 (1952)
	CJ	Varma et al., "Synthesis and C-25 Chirality of 26-Hydroxycholesterols", The Journal of Organic Chemistry 40:3680-3686, 1975.
	CK	Wei et al., "Modulation of Hormone-dependent Glucocorticoid Receptor Function Using a Tetracycline-regulated Expression System", J. Steroid Biochem. Molec. Biol. 64:1-12, 1998.
	CL	Michael W. Whitehouse et al., "Catabolism in vitro of cholesterol: some comparative aspects", <u>Arch. Biochem. Biophys.</u> , 98, pp. 305-311 (1962) <i>Abstract Only</i>
	CM	Xia et al., "Synthesis of N-Substituted 3-OXO-17β-Carboxamide-4-AZA-5α-Androstanes and the Tautomerism of 3-OXO-4-AZA-5-Androstenes", Heterocycles 47:703-716, 1998.
BB	CN	Stephen A. Ziller, Jr., et al., "Bile Acids. XXV. Allochenodeoxycholic Acid, A Metabolite of 5α-Cholestan-3β-OL in the Hyperthyroid Rat", <u>The Journal of Biological Chemistry</u> , Vol. 243, pp. 5280-5288 (1968)
	CO	

Examiner Signature <i>Badis</i>	Date Considered <i>4/15/05</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	